

LIBCA MEETING

Join Us for an In-Depth Discussion on the Changing Compliance Landscape!



MAY 13TH



11AM TO 1PM

Please RSVP:
Lunch will be served!

In This Session, We'll Cover:

The Changing Role of the BSA Compliance Officer

- *Explore how the responsibilities of the Bank Secrecy Act (BSA) Officer are evolving in response to regulatory shifts and technological advancements.*
- *Understand the transition from a reactive compliance role to a proactive one focused on preventing financial crimes and managing risk.*

Examiner BSA/AML Priorities and Trends for Regional and Community Banks

- *Dive deep into what financial examiners are focusing on when assessing BSA (Bank Secrecy Act) and AML (Anti-Money Laundering) programs, with a particular emphasis on trends affecting regional and community banks.*

How Recent Consent Orders Put the Risk Back in Risk Assessments

- *Learn how recent consent orders are putting renewed focus on risk management practices.*
- *Understand the potential consequences for institutions that fail to meet regulatory requirements, and how to avoid pitfalls in risk assessments.*



Speaker:
Maleka Ali

*President of
Arc-Serv, LLC*

Maleka Ali, President of Arc-Serv, LLC, has 35+ years of experience servicing the financial community in all areas, including Operations, Fraud, Compliance, and Risk Management. Her experience included a 13-year tenure for a major AML software company where she learned the ins and outs of how AML systems work from the ground up. Her roles at the software vendor included system design, quality control, AML outsourced monitoring and director of education and consulting. She has worked heavily with OFAC and BSA compliance and has developed and implemented AML/CFT programs along with comprehensive risk assessments and Model validations at dozens of financial Banks. She is CAMS certified, was also part of the inaugural class for the advanced CAMS-Audit certification demonstrating advanced skills in auditing the effectiveness and adequacy of BSA/AML compliance programs and is a board Member of the ACAMS San Diego Baja Chapter. She has assisted over 750 Banks and examiners in areas such as data integrity, program efficiency, risk assessment, exam remediation, policies, and procedures, AML/CFT enhancement, training and how to effectively utilize automated monitoring systems.

**PLEASE RSVP BY MAY 2ND TO
MSMITH@HANOVERBANK.COM**